

**Collaborative Doctoral Awards  
Studentship Competition (Project-led)**



**Part 1 : Project Proposal Application**

To be completed by the lead proposed supervisor(s) and non-HE partner organisation.



Arts & Humanities  
Research Council

**Before completing each section, please consult the Guidance Notes.  
Failure to provide the required information may result in disqualification.**

SECTION 1: PROJECT PROPOSAL AND CASE FOR SUPPORT			
Proposed Project Title:	Peer Power: Scotland and northern England under the 5 <sup>th</sup> and 6 <sup>th</sup> dukes of Buccleuch, c.1820-c.1914.		
Project Summary: <i>(Maximum 100 words)</i>	The Buccleuch estates are the largest private landholdings in Scotland, but are critically understudied, particularly in their industrial context. This project will construct the first industrial history of the estates; it will examine the evolution of trade unionism and its relation to the customary paternalism of the aristocracy and will construct a revisionist understanding of the landed aristocracy during the rise of British capitalism after the Enlightenment. This PhD offers an opportunity to explore one of the great landed archives of the UK and Ireland, re-frame historiographical fields and share the benefits of this work with local communities and heritage organisations.		
Name of non-HE Partner Organisation:	Buccleuch Living Heritage Trust		
Name of Contact at non-HE Partner Organisation:	Mr Crispin Powell	Email Address:	cpowell@buccleuch.com
Primary AHRC Subject Area:	History		
Secondary AHRC Subject Area (if <b>Interdisciplinary</b> ):	Choose an item.		
Does the project Include a creative practice component?	YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>		
If you have listed two subject areas above, do you consider the project to be interdisciplinary?	YES <input type="checkbox"/> NO <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		
<b>If Yes, please briefly state why:</b> <i>(Maximum 100 words):</i>			
N/A			

**Please provide full details of the proposal and make your case for support below:**

*(Maximum 750 words)*

### **Research Context & Significance**

The Buccleuch estates are the largest private landholdings in Scotland, and are supplemented by significant property in England. With the development of artisan and heavy industries on the estates from the late eighteenth century, the rights and welfare of a new industrial workforce were revolutionized and a significant contemporary legacy remains. This project will construct the first industrial history of the estates, contextualising them into the family and estate management more broadly, including their art and architectural activities. It will examine the evolution of trade unionism and its relation to the customary paternalism of the aristocracy and will construct a revisionist understanding of the landed aristocracy during the rise of British capitalism after the Enlightenment. It will also incorporate analysis of the wider industrial and commercial infrastructure that was required, including mines, mills, docks and factories, roads and railways, banking and local government. The major public movements of the nineteenth century – public health, education, extension of the franchise, religious tolerance, building societies and savings banks, urbanisation – will form a further theme, as well as the intellectual and political questions of unionist nationalism and the evolution of Conservative thinking in the nineteenth century.

No substantial research of the Buccleuch estates (in Scotland and England) exists, beyond one important monograph (Bonnyman, 2014). The wider historiography examining landed estates and industry is now considerably dated and more recent theoretical models or approaches have yet to be utilised. Recent work on landed estates in Scotland has focused on land management and reform and is overwhelmingly focused on the Highlands and Islands. English historiography is fuller, with studies emerging on estate and land improvement broadly defined, the role of women in these processes and protest/resistance. Despite constituting a large and diverse landed estate, both the land and industrial management of the Buccleuch estates in the nineteenth century are unexplored; this PhD will revise scholarly understandings of the role of the aristocracy in early industrial capitalism.

### **Research Questions**

***The overarching research question asked by this project is:***

How did the industrial operations of the Buccleuch estates develop, what impact did they have on their tenantry and workforce and how did this activity affect their traditional paternalistic approach?

There are two sub-questions:

#### ***1. Policies affecting the work force and tenants, their rights and welfare on the Buccleuch estates***

a. Framed within the negotiation between laissez faire and landed paternalism, how were trade unions, co-operatives, working conditions and the emerging politics of the labouring poor dealt with under the estates?

b. How were issues such as housing, emigration and safety managed in comparison to other landed estates (including the Buccleuch's English properties in Cumbria and Northamptonshire) and non-landed industrial capitalists?

## **2. Family and estate reputation**

- a. How did the 5th and 6th dukes understand their responsibilities to their workforce, tenantry and the wider British economy and society?
- b. How did women within the Buccleuch family contribute to the family reputation and estate and industrial management?

### **Research Methods**

The PhD will primarily utilise an archival approach, complemented by public history and heritage elements. The archival work will be supported by unique access to private material held by the Buccleuch estates, supplemented by the major Buccleuch deposit in the National Records of Scotland, Edinburgh. It will also utilise materials documenting the activities of trades unions on the estate, welfare organisations, parochial and medical board records, local newspaper reporting and pamphlets, Hansard, as well as the archives of relevant individuals.

### **Outcomes**

As well as the thesis, this CDA allows for significant public engagement in partnership with the Buccleuch Living Heritage Trust. Links with local community partners such as Wanlockhead will be built upon to explore their industrial heritage and what this may mean for the future of remote and rural communities in the Scottish and English borders. Contributions to the Borderland Partnership are also under discussion, and the Scottish Mining Museum has been identified as a site for knowledge exchange and community programmes. This knowledge exchange and community activity will benefit not only the Buccleuch Living Heritage Trust but also the region as a whole, by improving knowledge of the past of what are still viewed as proud industries. This is one of the reasons why this CDA project is so suited to Newcastle University: aside from supervisory expertise, the History department is highly experienced in developing and supporting public engagement and public history activity. In light of this, a workshop is planned in partnership with the Labour and Society Research strand around the theme of industrial paternalism. Further, as part of their academic training and development, the candidate will in year one give a paper on their research to Newcastle's Postgraduate Forum; then in year two, propose and run a masterclass on approaches to heritage involving other PhD students and heritage professionals at the Centre for Public History at Queen's. This will support their development as an active and collaborative researcher, as well as giving them experience of planning and running events.

**Please provide details of any resources and facilities, including details of any high cost equipment, fieldwork, training, etc., that may be required to complete the project successfully, and where you will seek these resources (e.g. NBCDTP; partner resources; departmental/school funds). Please include estimated costs:**  
(Maximum 200 words)

The training and development support required by the candidate will be carefully planned and managed by the project team to maximise the ease of transition into doctoral study and build added value into the project for the academic and non-academic partners. The Buccleuch Estates are a geographically diverse organisation, with properties in England and Scotland, with the focus for this project on the archives stored at Bowhill House, Selkirk, in the Scottish Borders, and Edinburgh. Accommodation will be provided by BLHT when required by the candidate but travel costs (public transport by train and bus is cheaply available) may be applied for under the NBC Small Research Grant scheme. These costs are estimated not to exceed £1500 over the duration of the PhD.

**Please outline the arrangements for communication between the partner organisation and academic host organisation in regard of the project management and the monitoring of academic progress:**  
(Maximum 150 words)

This project team has been working together across different project configurations already, successfully testing the partnership and co-productive spirit, demonstrating that we can effectively manage the project and academic progress of the candidate. As the candidate will be registered at Newcastle, the lead supervisor will ensure they receive the expected academic support from their primary institution, as well as co-ordinating communication between the rest of the supervisory team. Monthly meetings between the candidate and all the supervisors will take place, primarily remotely via Skype, but at least four times a year together in person, hosted by the partner. This will allow for a genuine exchange of ideas as well as monitoring the academic progress of the candidate. All meeting records will be shared across the team; clear goals and targets mapped onto a project timeline agreed and assessed and any concerns on progress flagged as soon as possible.

**What benefits will accrue to the student and the partner organisation as a result of your collaboration?**  
(Maximum 300 words)

This project should be prioritised because of its emphasis on working in partnership between and within academic institutions and with external partners, in order to build a sustainable network of expertise and collaboration. For **Buccleuch Living Heritage Trust**, this project represents an opportunity to increase understanding of the scope and content of an extremely large but underutilised archive. As such, one of the chief benefits to them will be in a greater understanding of their history and the capacity to share that with local communities living and working on the estates to develop heritage and public history projects. The key focus for this will be Barrow in Furness, a place traditionally off the 'heritage map'; this project will enable BLHT to develop a collaborative project element between the town, Barrow Record Office, the Devonshire archives at Chatsworth, and the Northern Bridge Consortium. **The student** will benefit from this research collaboration will develop several layers of public engagement activity, with a broad reach geographically and in

theme and the expertise of colleagues working within both academic institutions and Buccleuch estates, enhancing their research environment and exposing them to a range of postdoctoral career paths. The candidate will be involved in the heritage and archive management programme from day 1, including the establishment of the new archive facility at Drumlanrig House but also wider cross-property programmes of restoration, conservation and acquisition which are all continually developing.

**Please briefly state what financial (if any) or in-kind contribution the partner will be making over the duration of the award:**  
(Maximum 100 words)

The BLHT will be making a significant in-kind contribution in the following key ways. (1) A principal part of the contribution will be in the form of the time and expertise of Mr Crispin Powell, archivist for Buccleuch Estates and external supervisor of this project. He, on behalf of BLHT, will induct the candidate into the Buccleuch Estates organisation, provide training on the archival and wider collections (e.g. architectural, art etc) handling and management, including cataloguing. (2) The time resource of other key Buccleuch employees will contribute to this project, including that of Dr Scott Macdonald, Head of Collections and Mr Rory Powell, Countryside Learning & Engagement Ranger. (3) Accommodation and help towards travel expenses for the candidate to enable them to travel cheaply or for free will also be part of the partner's in-kind contribution.

**Please describe the nature of the collaborative arrangement and the activities the student will be taking with the organisation:**  
(Maximum 300 words)

This has been an organic collaborative development between the project team members, with an emphasis on co-production of research and the impactful development of its outcomes, and the activities planned for the candidate reflect this, principally through a planned six month placement with the BLHT at the start of Year Three, which will have in-built training elements in collections and archives management as well as public engagement and impact. Firstly, a contained cataloguing project utilizing the newly acquired archive software provided by MuseumPlus, as well as a traditional hard copy catalogue. The candidate will receive training on the system from Buccleuch colleagues and would centre on developing the image and archive features of the system, towards the eventual goal of having each and every object or group of objects in the collections across all four main houses and elsewhere, fully catalogued, complete with related images, films, archival entries, provenance and literature information. Secondly, the placement would consist of work engaging with local communities with an interest in the history of their areas, particularly those which prove to be the setting for this research: for instance, Granton, Hawick, Langholm, Dalkeith, Canonbie, Wanlockhead, Barrow in Furness and Clitheroe. The candidate will open up the archive and contribute to educational programmes offered at Boughton, Bowhill and Drumlanrig by the teams there, while being supported by the PR team, giving him valuable experience in these areas.

## SECTION 2: SUPERVISION AND EXTERNAL ADVISORS

First Supervisor:	Dr Annie Tindley	School/Department:	School of History, Classics & Archaeology, Newcastle University
Second Supervisor:	Mr Crispin Powell	School/Department:	Archivist, Buccleuch Estates
Additional Advisor:	Dr Olwen Purdue	Organisation/Institution:	School of History, Anthropology, Philosophy & Politics (History), Queen's University Belfast.
Additional Advisor:		Organisation/Institution:	

**Explain how the expertise of the supervisory team and external advisors will allow them to support the proposed project and the selected student:**

*(Maximum 500 words)*

Having developed organically from within the partner institutions' research environments, this project and the candidate's needs will be accurately predicted and met, and rest upon tried and tested links within the supervisory team. A stimulating mix of disciplines and approaches will be open to the student, as well as two healthy postgraduate research environments. By drawing on their wide range of experience, research interests and public engagement and impact expertise, the supervisory team will build a supportive environment for the student, while offering them a range of perspectives – institutional, disciplinary and methodological. **Dr Annie Tindley's**

<https://www.ncl.ac.uk/hca/staff/profile/annietindley.html#background>) expertise lies in the modern history of rural Scotland and deals with themes of land use and management and the relationship between landed elites and government in rural areas. She is also experienced in collaborative and interdisciplinary research, giving her valuable expertise in developing new methodological frameworks in support of innovative research, which can be utilised by the candidate. She has supervised to completion two PhDs, one a fully-funded collaborative project, and is currently supervising four others (two funded). She is a Fellow of the Royal Historical Society, established in 2015 the Centre for Scotland's Land Futures, and is the series editor of *Scotland's Land* for Edinburgh University Press. **Mr Crispin Powell's** wide range of expertise and experience will greatly benefit the candidate. He has worked for Buccleuch estate as archivist since 2012, where he has assisted in the supervision of 3 Collaborative Doctoral Award research projects with the University of Leicester; two successfully completed and one due for completion. He has also supervised 5 postgraduate interns from Leicester working towards MAs and a student working towards an MSt. from Cambridge which has now expanded into an AHRC funded PhD. He is also working with a research team from the Department of Music at the University of Southampton on another AHRC funded project and exhibition. Previously he was an archivist for 22 years at the Northamptonshire Record Office. **Dr Olwen Purdue** ([https://pure.qub.ac.uk/portal/en/persons/olwen-purdue\(14eeffb5-33df-4e0d-b393-b5a20c11e3d2\).html](https://pure.qub.ac.uk/portal/en/persons/olwen-purdue(14eeffb5-33df-4e0d-b393-b5a20c11e3d2).html)) specialises in the social and economic history of nineteenth and twentieth-century Ireland. Her research focuses on urban poverty, welfare and public health, and on Irish landed estates. She also has a strong interest in

Public History, working closely with the cultural and heritage sectors across Northern Ireland in research, teaching and public engagement. She is Director of the Centre for Public History and convenes the MA in Public History at QUB and has experience of supervising collaborative doctoral projects: she is currently supervising a NB NIPF doctoral project in collaboration with Belfast City Council, and another NB CDA with National Museums NI. She has supervised five successfully completed PhDs.

Each member of the supervisory team brings networks and connections to the table that will benefit the student, including access to links with community and local enterprise partners in the Scottish Borders to generate impact from the doctoral research. Taken together, this team will carefully guide the candidate through the PhD process, and give it added value through the ambitious programme of public engagement and research impact.

### SECTION 3: RESEARCH ENVIRONMENT

**Please provide details about the research environment the selected student will be joining and its suitability:**  
(Maximum 500 words)

The strength of this project lies in the **three active and diverse research environments** that the student will be able to take advantage of: Newcastle and Queen's Belfast universities and the Buccleuch Living Heritage Trust.

**At Newcastle**, the student will benefit from the support of membership of the Labour and Society research group, a collaborative research forum open to those researching the histories, sociologies and geographies of labour. As well as a supportive and vibrant environment consisting of regular reading groups, workshops and work in progress sessions, we propose that the student will plan and deliver a one-day workshop on the theme of 'Paternalism and Industry', to establish their credentials in the field. **At Queen's Belfast**, the Centre for Public History will provide a second very active research environment, which encourages the involvement of postgraduate students and seeks to promote collaborative projects and is particularly strong on history of the country house, working closely with Historic Royal Palaces and the National Trust. Within the **Buccleuch Living Heritage Trust**, the student will be joining a diverse and active range of research activities and a robust environment which consists of current PhD students working on the English properties as well as ECRs who have already completed, giving the student a strong model. Leading experts will therefore be accessible to the student and to support this environment, the supervisory team will run a one-day workshop to bring together all researchers working on the Buccleuch estates together.



Scott Macdonald  
Head of Collections  
Buccleuch Living Heritage Trust  
Boughton House  
Kettering, Northamptonshire, NN14 1BJ

4 October 2019

To: the Northern Bridge Consortium scrutiny panels,

**Letter of support for the application for a Collaborative Doctoral Award PhD studentship:  
'Peer Power: Scotland and northern England under the 5<sup>th</sup> and 6<sup>th</sup> dukes of Buccleuch,  
c.1820- c.1914.'**

I am writing to confirm, on behalf of the Buccleuch Living Heritage Trust, our wholehearted support for this Collaborative Doctoral Award application.

The Buccleuch estates remain the largest private landholdings in Scotland but are critically academically understudied. This project will, therefore, be the first to explore in-depth the un-archived materials and understudied areas of the Buccleuch collection, family and estates history and archive.

The Buccleuch Living Heritage Trust's key objective is the advancement of historic, artistic, architectural and aesthetic education. Primary objectives include:

- **The education** of the general public in respect of matters of historic, artistic, architectural and aesthetic interest;
- **The promotion** of the study and appreciation of agriculture, horticulture and silviculture, and land and estate management;
- **The preservation, protection and improvement** for public benefit of buildings and chattels of national & international, historic, architectural or artistic interest.

All of these priorities are encapsulated in this project, the parameters of which have been developed organically between Dr Annie Tindley and the team at BLHT; they are firmly rooted within our organisation, and can be effectively supervised from our side. The research will entail work on original source material at all three of the Duke of Buccleuch's principal houses (Boughton House in Northamptonshire, Bowhill in the Scottish Borders and



Drumlanrig Castle in Dumfries and Galloway) and work in the archives at all locations would be supported by the Buccleuch archivist and facilitated by the respective house teams.

The Buccleuch Living Heritage Trust is committed to developing the intellectual capacity and access to its hugely significant archival collections, and already has a proven track record in doing so in relation to Boughton. This has been achieved by working on collaborative PhD projects with the University of Leicester, and we are seeking to replicate this success in relation to the political, social, economic and cultural heritage and history of the Scottish estates (for more information of these projects, please see for example: <https://lra.le.ac.uk/handle/2381/42491> and <https://lra.le.ac.uk/handle/2381/42846>).

In addition to the intellectual value of this project, we are excited by the opportunities to develop community outreach and education activities, fieldwork and a placement of up to six months' duration. As such, we propose that if this application is successful, the PhD student will undertake this placement with us, which will focus on both the outreach and community engagement results of the archival work through the heritage education or tourism outlets of the BLHT, and a cataloguing project, depending on the development of the project and the applicant's preferences in terms of career development and experience.

Once again, the BLHT is delighted to be working in partnership with academic colleagues in order to uncover the rich social history of the nineteenth century Scottish estates, and to ensure the benefits and insights from this research are disseminated as widely as possible, for the benefit of the student and the Trust.

Yours Sincerely,

Scott Macdonald

Head of Collections & Conservation

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